# 2017 ANNUAL Report



Featured Courthouse - Franklin County Superior Court - Farmington, Maine

# **BOARD OF OVERSEERS OF THE BAR**

CREATED BY THE MAINE SUPREME JUDICIAL COURT IN 1978 TO GOVERN THE CONDUCT OF LAWYERS AS OFFICERS OF THE COURT

### 2017 ANNUAL REPORT OF THE BOARD OF OVERSEERS OF THE BAR

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## Board of Overseers of the Bar 2017 Annual Report

#### INTRODUCTION

The Board of Overseers of the Bar was created by the Maine Supreme Judicial Court in 1978 as an independent administrative body to govern the conduct of lawyers as officers of the Court. The Board regulates attorney conduct by enforcing the Maine Bar Rules and the Maine Rules of Professional Conduct (Rules) adopted by the Court. The purpose of those Rules is to provide appropriate standards for attorneys with respect to their practice of the profession of law, including, but not limited to, their relationship(s) with their clients, the general public, other members of the legal profession, the court, and other agencies of this state.

The Board appoints members to three Commissions established by the Maine Bar Rules: the Grievance Commission, the Fee Arbitration Commission, and the Professional Ethics Commission. Three-member panels of the Fee Arbitration Commission and the Grievance Commission conduct their duties and responsibilities as defined in the Maine Bar Rules. Each panel is composed of two attorneys and one public member. The Professional Ethics Commission, consisting of eight attorneys, issues formal written advisory opinions to the Court, Board, Grievance Commission, Bar Counsel, and members of the Maine bar. Those opinions involve the interpretation and application of the Maine Rules of Professional Conduct related to specific questions about attorney conduct.

In 2015, the Maine Supreme Judicial Court established a Guardian ad Litem Review Board as an independent unit within the Board of Overseers of the Bar to regulate guardians ad litem. The Guardian ad Litem Review Board's responsibilities include:

- proposing rules of procedure for the Guardian ad Litem complaint system for promulgation by the Maine Supreme Judicial Court and commenting on the enforceability of existing and proposed Maine Rules for Guardians ad Litem;
- annually registering rostered guardians ad litem; and
- resolution of complaints against guardians ad litem and administration of the continuing education requirements for guardians ad litem.

#### **MISSION STATEMENT**

The Board's mission is to encourage and promote the competent and ethical practice of law by members of the Maine bar, and to make these standards known to members of the public so that they have confidence in the legal profession in Maine.

The Board accomplishes its mission by:

- registering and regulating attorneys licensed to practice in Maine;
- approving and providing continuing legal education programs;
- requiring that all attorneys licensed to practice in Maine have met the Maine Supreme Judicial Court's continuing legal education standards;
- providing guidance to attorneys on ethical issues through written advisory opinions issued by the Professional Ethics Commission as well as the Ethics Helpline;
- informing members of the public of their rights concerning proper attorney behavior and the availability of fee arbitration;

- enforcing Maine's code of ethics for attorneys; and
- endeavoring to achieve the best possible legal representation of clients through all of these measures.

#### **BOARD LEADERSHIP AND STAFF**

The Maine Supreme Judicial Court appoints Board members to oversee the operations of the organization. The Board is composed of six lawyers and three members of the public. Public members are appointed by the Court on the recommendation of the Governor. Board members may serve two consecutive three-year terms.

#### **Board Members**

Cathy A. DeMerchant, (Chair) — Augusta	Benjamin
Judson Esty-Kendall, Esq. (Vice Chair) — Bangor	Margaret
Richard P. Dana, CPA — Cape Elizabeth	Julia A. S
Mary A. Denison, Esq. — Winthrop	Court Lia
Barbara H. Furey, Esq. — Portland	The Hono
Christopher L. Gaunce — Waterville	

Benjamin Rogoff Gideon, Esq. — Lewiston Margaret K. Minister, Esq. — Portland Julia A. Sheridan, Esq. — Portland <u>Court Liaison</u> The Honorable Joseph M. Jabar — Augusta

The Board and the Court recognize and thank outgoing board member Victoria Powers, Esq., for her six years of service on the Board. Her dedication and leadership during her tenure on the Board have been invaluable in promoting and protecting the integrity of the legal profession in Maine.

#### Board Staff

Susan E. Adams, CLE/Registration Coordinator	Angela M. Morse, Special Counsel
Jody A. Breton, Registration Clerk	Jennifer M. Pare, Administrative Assistant
J. Scott Davis, Bar Counsel	Jacqueline M. Rogers, Executive Director
Aria Eee, Deputy Bar Counsel	Donna L. Spillman, Senior Assistant to Bar Counsel
Kirsten M. Eubank, Assistant to Bar Counsel	Marilyn L. Ware, Executive Director Assistant
Alan P. Kelley, Assistant Bar Counsel	Elizabeth T. Weyl, Board Clerk

#### MAINE BAR RULES

The Board is charged with the continuous study of the bar and its relation to the public and the courts for the purpose of making recommendations to the Court with respect to revisions to the Maine Bar Rules. The following is a summary of proposed amendments adopted by the Maine Supreme Judicial Court in calendar year 2017:

#### Rule 6 - Maintenance of Trust Accounts in Approved Institutions: IOLTA

On January 5, 2017, the Court adopted amendments to Maine Bar Rule 6 to properly reference the Maine Justice Foundation, formerly the Maine Bar Foundation, as the agency that helps ensure access to civil justice for low-income individuals and vulnerable Mainers.

#### Rule 13 – Disciplinary Rules of Procedure

On January 5, 2017, the Court adopted identical amendments, Maine Bar Rules 13(d)(2) and 13(e)(6), requiring the full disclosure of a respondent attorney's sanction history to grievance complaint review panels in a manner identical to that already authorized for and utilized by hearing panels.

On January 5, 2017, the Court adopted amendments mirroring the discovery provisions for Court proceedings under Rule 17(b) with Grievance Commission hearing panel proceedings under Rule 17(a).

#### Rule 18 – Access to Disciplinary Information

On January 5, 2017, the Court adopted amendments to include the same exceptions to the general confidentiality mandates under former Maine Bar Rule 7.3(k) that were inadvertently omitted from current Maine Bar Rule 18.

#### **OVERVIEW OF THE ATTORNEY DISCIPLINARY PROCESS**

The purpose of the lawyer disciplinary system is to protect the public, the courts, and the legal profession from attorneys who do not meet their professional and ethical responsibilities under the Maine Bar Rules and the Maine Rules of Professional Conduct.

The Maine Supreme Judicial Court has inherent jurisdiction over members of Maine's legal profession and matters of attorney discipline. The Court has the power to promulgate and, in its discretion, amend the rules regarding attorney discipline, including the Maine Bar Rules and the Maine Rules of Professional Conduct. The Court established the Board of Overseers of the Bar as its disciplinary arm. It appoints Board members and designates those who shall serve as Board Chair and Vice Chair. The Court has the exclusive authority to impose the most severe disciplinary sanctions: suspension and disbarment.

#### **CENTRAL INTAKE OFFICE**

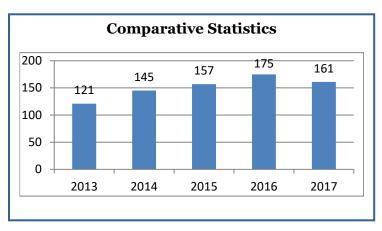
The Central Intake Office provides assistance to members of the public who wish to lodge a complaint against a licensed Maine attorney. The Central Intake Office is staffed by the Board Clerk, who is a licensed Maine attorney. While the Central Intake Office does not provide legal advice, it can provide the expertise needed to assist complainants in identifying problems and stating their complaints, suggest alternative ways to deal with a dispute, and make timely referrals to appropriate agencies.

The Central Intake Office also screens and dockets all grievance complaints submitted to the Board. If the Board Clerk determines that a grievance complaint does not allege professional misconduct, the complaint will be dismissed and the complainant will receive a written explanation for the dismissal. The complainant then has twenty-one days to request that a public member (non-attorney) of the Board or the Grievance Commission review the dismissal. In 2017, the Central Intake Office dismissed 72 grievance complaints.

#### **GRIEVANCE COMMISSION**

The Board investigates complaints alleging violation(s) of the Maine Bar Rules or the Maine Rules of Professional Conduct. In 2017, Bar Counsel received and docketed 161 grievance complaints.

After review, Bar Counsel may dismiss a grievance complaint, with or without an investigation, upon the determination that a professional conduct violation did not occur. In instances where Bar Counsel determines that misconduct may have occurred, the



grievance complaint will be reviewed by a three-member panel of the Grievance Commission in a confidential proceeding

pursuant to Maine Bar Rule 13(d). After review, the panel may dismiss a case where no misconduct is found, dismiss a case with a warning where there is minor misconduct that is unlikely to be repeated, or, in cases of more serious misconduct, direct Bar Counsel to file formal charges.

If a matter is to be resolved by a formal proceeding, Bar Counsel prepares and files formal charges with the Board Clerk and a new three-member panel of the Grievance Commission for hearing. Grievance Commission panels hold public disciplinary hearings in accordance with Maine Bar Rule 14(a).

At disciplinary hearing, the parties are entitled to be heard, present evidence, and cross-examine witnesses. Following a disciplinary hearing, the panel issues a written report containing findings of fact, conclusions of law, and application of any relevant factors with respect to appropriate sanctions for misconduct is issued. In 2017, the Grievance Commission issued 13 hearing decisions. At year-end, there were 59 pending grievance matters.

#### 2017 Bar Counsel Dispositions

Dismissal (Investigation)98	Dismissal (No Investigation)4					
2017 Public Member Reviews						
Decision Affirmed51	Decision Disaffirmed4					

#### 2017 Grievance Commission Dispositions

#### **Confidential Case Reviews**

Deferral	2
Dismissal	6
Dismissal with Warning	9
Probable Cause for Hearing1	8

## Hearing

Dismissal	0
Admonition	3
Information Authorized	1
Stipulated Report Rejected	6
Reprimand	6
Reprimand with Probation	1

#### 2017 Single Justice/Law Court Dispositions

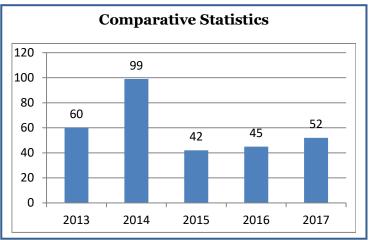
Administrative Reinstatement	License Surrender0
Approved6	Suspended Suspension1
Disapproved0	Suspension2
Disciplinary Reinstatement	
Approvedo	Reciprocal
Disapprovedo	Disbarment 1
	Reprimand2
Receivership	Suspension2
Appointment9	
Discharge7	

#### **FEE ARBITRATION COMMISSION**

The fee arbitration system provides clients (Petitioners) and licensed Maine attorneys (Respondents) with an impartial and expeditious out-of-court option for resolving legal fee disputes. Proceedings before the Fee Arbitration Commission are initiated by the filing of a Fee Arbitration Petition. The Fee Arbitration Commission consists of five geographically

distributed panels. Each panel consists of two lawyers and one public member. At hearings, the convened panel takes testimony and considers relevant and material evidence. The decision of the arbitration panel is expressed in a written Award and Determination accompanied by a Confidential Addendum outlining the specific reasons for the award.

The Board docketed 52 fee arbitration petitions in 2017. Over the course of the year, nine matters were administratively dismissed for untimeliness, lack of



jurisdiction, or lack of just grounds for dispute, and 17 matters were dismissed as a result of settlements.

In 2017, Fee Arbitration Commission panels held 30 hearings. Of those, three cases were dismissed or settled by the parties at the hearing, the panel found in favor of the Respondent in 22 matters, and in favor of the Petitioner in five matters. At year-end, 13 fee arbitration matters remained pending.

#### 2017 Fee Arbitration Commission Dispositions

Administrative Dismissal26	Award to Respondent22
Award to Petitioner5	Hearing Dismissal3
Court Vacated Award to Petitioner1	

#### **PROFESSIONAL ETHICS COMMISSION**

The Professional Ethics Commission (PEC) issues advisory opinions to the Court, the Board, Bar Counsel, and to the Grievance Commission on matters involving the interpretation and application of the Maine Rules of Professional Conduct to specific issues and questions. The PEC also issues advisory opinions on ethical questions posed by members of the bar. In 2017, the PEC issued three formal opinions:

# OPINION #215, ATTORNEYS ASSISTANCE TO CLIENTS UNDER RULE 1.2 REGARDING THE USE AND SALE OF MEDICAL AND RECREATIONAL MARIJUANA (VACATING OPINION #214)

The Professional Ethics Commission (PEC) believed it was appropriate to revisit Opinion #214 and offer additional guidance to individuals and entities seeking legal advice in navigating the statutory and regulatory structure posed by Maine legislation with specific regard to marijuana (either medical or recreational). In doing so, the PEC noted that there are two different issues to be addressed: 1) whether Maine lawyers can advise clients on how to conform their conduct to the law; and 2) whether a Maine lawyer may provide services that go beyond the provision of legal advice to clients involved in the sale or use of marijuana as permitted under Maine law, such as negotiation of contracts and drafting of legal documents to assist such clients in establishing a marijuana business.

With regard to the first question, the PEC noted that since Opinion #199 was issued in 2010, several other states have had occasion to address state legalization of medical or recreational marijuana and its resulting impact on Maine Rule of

Professional Conduct 1.2 (Scope of Representation and Allocation of Authority between Client and Lawyer). In that regard, a consensus has developed that lawyers should be permitted to advise clients on how to conform their conduct to the law and that the provision of legal advice to clients involved in the marijuana trade falls squarely within that exception. Therefore, in clarifying and thereby replacing Opinion #214, the PEC opined that, notwithstanding current federal laws that still prohibit the use and sale of marijuana, Rule 1.2 is not a bar to lawyers assisting clients to engage in conduct that the lawyer reasonably believes is permitted by Maine laws regarding medical and recreational marijuana, including the statutes, regulations, orders and other state or local provisions implementing them. The PEC cautioned that, because the federal Department of Justice's guidance on prosecutorial discretion is subject to change, lawyers providing advice in this field must keep up to date on federal enforcement policy, as well as any modifications of federal and state law and regulations, and so advise their clients of the same.

#### OPINION #216, PROSECUTOR'S COMMUNICATIONS WITH AN ALLEGED CRIME VICTIM WHO IS REPRESENTED BY COUNSEL

The question presented was whether Maine Rule of Professional Conduct 4.2 (Communication with Person Represented by Counsel and Limited Representations), permits a prosecutor to communicate with an alleged crime victim (ACV) if the prosecutor is aware that the ACV is represented by counsel in relation to the event or conduct that is the subject of potential or pending criminal charges, in the absence of consent from that lawyer. The Professional Ethics Commission concluded that Rule 4.2 imposes some limitations on a prosecutor's communications with alleged crime victims. If a prosecutor has knowledge that the ACV is represented by counsel regarding the criminal matter or closely related civil litigation arising from the same incident or conduct, the prosecutor must then obtain the consent of the ACV's counsel before communicating with the ACV unless the communication is expressly authorized by law or court order.

#### OPINION #217, ETHICAL OBLIGATIONS FOR ACCESS AND USE OF SOCIAL MEDIA

The PEC addressed three questions pertaining to an attorney's use of social media.

Question #1: What is the permissible manner and extent that attorneys, in client-related matters, may access and use information present on social media?

Question #2: To what extent may an attorney access information about jurors or potential jurors through social media?

Question #3: Are attorneys permitted to connect with judges or other types of judicial, quasi-judicial or administrative hearing officers through social media?

Respectively, the PEC concluded that:

- #1. Attorneys are generally permitted to access and use publicly-available information obtained from social media, including publicly-available information on a represented party's social media. However, an attorney may not request access to private portions of a represented party's social media because such a request constitutes an impermissible contact with a represented party. An attorney may request access to private portions of unrepresented persons' social media, but only if the attorney is truthful and not deceptive in making the request, and the attorney takes reasonable steps to ensure that the unrepresented person does not misunderstand the attorney's interest or role.
- #2: An attorney may access publicly available information on social media about jurors or potential jurors. However, an attorney may not request access to any non-public portions of a juror's or potential juror's social media. Attorneys must avoid accessing social media of a juror or potential juror where the attorney knows or reasonably should know that the social media site will alert the juror or potential juror to the fact that the attorney accessed the site. If an attorney

becomes aware that the social media site alerted the juror or potential juror to the contact, the attorney must notify the court of this fact.

#3: Attorneys are permitted to connect with judges and other judicial officers through social media, but they are precluded from having *ex parte* communications with, or from attempting to impermissibly influence, such judges or judicial officers through social media.

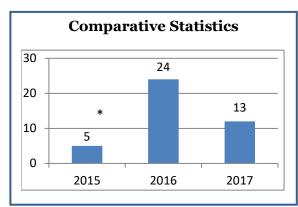
#### **GUARDIAN AD LITEM REVIEW BOARD**

The Guardian ad Litem Review Board (Review Board) is an independent unit within the Board of Overseers of the Bar that registers and regulates guardians ad litem as defined in the Maine Rules for Guardians ad Litem (Rules). The Review Board is comprised of eight members selected from the GAL Roster or from the Family Law Section of the Maine State Bar Association and four public members. The Governor, the President of the Senate, and the Speaker of the House each are invited to submit public member recommendations. The Judicial Branch selects at least three of the four public members from those recommendations.

The Review Board has the following powers and duties:

- To propose rules of procedure for the Guardian ad Litem Review Board Complaint System for promulgation by the Maine Supreme Judicial Court, and to comment on the enforceability of existing and proposed Maine Rules for Guardians ad Litem;
- To review periodically with the Maine Supreme Judicial Court the operation of the system;
- To enforce guardian ad litem compliance with the Rules and the procedures and regulations adopted thereunder;
- To delegate, in its discretion, to the Chair or Vice Chair the power to act for the Review Board on administrative and procedural matters;
- To prepare and file with the Board of Overseers each April a proposed Review Board budget for consideration by the Board of Overseers;
- To prepare, approve, and file an Annual Report with the Court;
- To establish financial policies and procedures, subject to the approval by the Board of Overseers, to effect its responsibilities under the Rules;
- To maintain the confidentiality of matters coming before the Review Board; and
- To carry out the other powers and duties assigned to the Review Board under the Rules.

In 2017, the GAL Review Board received and docketed twelve complaints and one mandatory disclosure pursuant to Rule 5(i). Of those complaints, nine were dismissed due to lack of jurisdiction (either the complaint was filed by a non-party, the respondent was not a licensed Maine GAL, or the guardian ad litem had not been discharged from the appointment and the case was still pending), three complaints were dismissed after investigation, and the mandatory disclosure was administratively closed after review. Two complainants sought a public member review of Board Counsel's dismissals pursuant to Rule 9. In both instances, the dismissals were approved by the public member reviewers. There were no disciplinary hearings conducted in 2017.



\*The GAL Review Board was established on September 1, 2015. Consequently, 2015 data collection under the new rules encompasses a four-month period.

Board Counsel regularly presents at Continuing Legal Education seminars throughout the state to encourage and promote competent and ethical practice by Guardians ad Litem and family law practitioners. Board Counsel also helps educate Court Appointed Special Advocates (CASA) volunteers through speaking at trainings and development programs. Rostered GALs can also utilize the Board's Ethics Helpline regarding questions pertaining to the Maine Bar Rules, the Maine Rules of Professional Conduct, or the Maine Rules for Guardians ad Litem.

The Review Board maintains the Court's roster of attorneys and other professionals (LCSWs, LPCs, LCPCs, LMSWs, LMFTs, LPaCs, psychologists, and psychiatrists licensed in the State of Maine) appointed to represent the best interests of children in Title 18-A, 19-A, and child protective proceedings. At year-end, there were 218 active rostered GALs. Of those, 201 (92%) were attorneys and 17 (8%) were other professionals. In 2017, thirteen GALs were administratively suspended from the roster for failing to register and/or complete the annual registration requirements. Subsequently, two GALs completed the registration requirements and were reinstated.

The Review Board maintains a list of approved sponsors and professional education programs. Under Rule 10, guardians ad litem must participate in at least 6 credit hours of approved continuing professional education programs applicable to one or more of the issues identified as core training issues in Rule 2(b)(2)(B). At least one credit hour must be primarily concerned with ethics and professionalism education. Qualifying professionalism education topics include professional responsibility as a guardian ad litem; legal ethics related to guardian ad litem work; conflicts of interest; diversity awareness in the legal profession; confidentiality of guardian ad litem records in Title 18-A, Title 19-A, and/or Title 22 cases; communication with parents involved in Title 18-A, Title 19-A, and/or Title 22 cases and their children; and complaint avoidance topics such as file management and billing practices. In 2017, the CLE Committee of the GAL Review Board considered and approved 18 professional education programs.

#### **EDUCATION AND OUTREACH**

#### MCLE Seminars Approved by the Board

In conjunction with the its annual registration of attorneys, the Board also oversees attorney compliance with Maine Bar Rule 5 — Continuing Legal Education (CLE). Active attorneys are required to annually complete eleven hours of approved CLE programming. At least one credit hour in each calendar year must be primarily concerned with professionalism education. Qualifying professionalism education topics include professional responsibility, legal ethics, substance abuse and mental health issues, diversity awareness in the legal profession, and malpractice and bar complaint avoidance topics including law office and file management, client relations, and client trust account administration. In 2017, the Board approved 3,201 courses that were submitted by 481 providers, offering a wide variety of live and self-study options.

#### **CLE Presentations**

The Board is committed to educating lawyers about the ethical considerations surrounding their law practice and ways to avoid common rule violations. In December 2017, the Board co-sponsored a free seminar with the Maine State Bar Association entitled, "The Ethical Minefield of Modern Technology and Social Media." More than 300 attorneys registered for the seminar. This seminar focused on understanding the duty of technology competence; ethical perils of social media for lawyers and judges; mobile device forensics and associated ethical considerations; and privacy, cybersecurity and data breach notification laws affecting lawyers. The Board was fortunate to have jurists, practitioners, and other professional regulators join in its annual presentation.

#### Speaking Engagements

As part of its ongoing initiative to educate the bar, the Bar Counsel presented at 34 continuing legal education seminars across the state, partnering with the Maine State Bar Association, county bars, and other legal entities to provide education on multiple ethics topics. Through those educational opportunities, Bar Counsel offered guidance on practice management, how to avoid ethical problems, updates on disciplinary decisions, and trends in the realm of ethics and professionalism. Bar Counsel welcomes the opportunity to speak at CLE presentations for the benefit of Maine lawyers and members of their staff.

#### Ethics Helpline

Bar Counsel, through the Ethics Helpline, provides informal ethics and professional responsibility advice to Maine attorneys. Bar Counsel can only provide advice concerning the conduct of the inquiring attorney or another member of that attorney's law firm. Maine Bar Rule 2(c) prohibits Bar Counsel from advising an inquiring attorney about another attorney's actual or hypothetical

ETHICS HELPLINE NUMBER 207-623-1122

conduct. *See also* Advisory Opinions #67 and #171. In 2017, Bar Counsel fielded 815 helpline calls. Comparatively, 884 calls were received in 2016. The top ten subjects of inquiry were:

- 1. Conflicts of Interest
- 2. Client Confidentiality
- 3. Practice Management Concerns
- 4. Reporting Professional Misconduct
- 5. Contact with Opposing Counsel

- 6. Termination/Withdrawal from Representation
- 7. Court/Candor Toward Tribunal
- 8. Fee Concerns
- 9. Client Communication Concerns
- 10. Grievance and Discipline Process

#### **Publications**

The Board publishes a Handbook for a Receiver of the Law Practice of a Disabled or Missing or Deceased ("DMD") Maine Attorney and a Practice Closing Guide. Both publications are available in PDF format on the Board's website.

#### **RECEIVERSHIPS AND SUCCESSION PLANNING**

In 2017, the Court appointed Receivers in eight matters to wind down the law practices of Maine attorneys who became disabled, missing, disqualified from practicing law, or deceased. Once appointed, these Receivers secured professional files, client property, and data; inventoried open and closed client files; notified clients of the law practice's Receivership and closure; prioritized open and time sensitive client matters; returned client files; managed and disbursed funds from operating and trust accounts; and performed the necessary functions to protect the clients' interests. The Board, through its Special Counsel, assisted these volunteer attorneys in the completion of their essential duties and the Board itself was appointed as Receiver to wind down the law practices of three deceased attorneys. In order to help protect the public, all Maine attorneys in private practice with an active law license must designate a proxy when completing the annual registration process.

The Board offers resources and advice to attorneys transitioning out of practice and Special Counsel regularly presents at Continuing Legal Education seminars throughout the state to educate attorneys about ethical mandates for file retention and storage and best practices for succession planning. This type of planning is an essential part of responsible law practice that protects clients and addresses the foreseeable needs of a practice suddenly left untended.

#### PROFESSIONAL UPDATE FOR MAINE LAWYERS AND JUDGES

As part of the Board's ongoing educational outreach to members of the bar, the Board publishes a bi-monthly digital newsletter entitled *Professional Update for Maine Lawyers and Judges*. In addition to administrative updates, statistical data, notice of rule amendments, and Court news, Bar Counsel publishes a regular *Bar Counsel Notes* feature that provides timely ethical guidance to members of the bar. Each newsletter also includes an "Enduring Ethics Opinion" feature concerning earlier advisory opinions issued by the Professional Ethics Commission that remain relevant and in effect under the Maine Rules of Professional Conduct.

#### REGISTRATION

The Board maintains a roster of attorneys who are active members of the Maine bar, as well as records of inactive, resigned, suspended, and disbarred attorneys.

#### **Demographics**

- At year-end, the Maine bar had 5,390 active members, of which 3,993 (74%) were resident attorneys. The roster increased by seventy-one attorneys over the prior year.
- A majority of resident attorneys—52%—practice or reside in Cumberland County. The next most populous counties are Kennebec (12%), Penobscot (8%), and York (8%).
- Approximately 47% of resident attorneys are over the age of sixty and 12% are under thirty-five. Forty-four percent of the resident attorneys
- under the age of thirty-five work or reside in Cumberland County.
- The average age of all active attorneys is 53 years old. The age span of active attorneys ranges from 24 to 95 years old.
- Male attorneys account for 63% of the Maine bar and female attorneys account for 37%.
- Approximately 64% of resident attorneys are private practitioners.

#### Administrative Suspensions

Maine Bar Rule 4 requires attorneys to annually renew their license with the Board. In 2017, 36 attorneys were administratively suspended for failing to complete the registration process. Comparatively, 80 attorneys were administratively suspended in calendar year 2016.

#### FUNDING

The Board is subject to the oversight of the Maine Supreme Judicial Court. The Board is self-funded from annual license fees charged to members of the bar. No public taxpayer monies are used to subsidize its operations. The Board operates on a fiscal year of July 1 through June 30. Its annual budget is reviewed and approved by the Court. The annual license fee charged to active attorneys is \$265, which is allocated as follows: \$225 to the Board, \$20 to the Lawyers' Fund for Client Protection, and \$20 to the Maine Assistance Program for Lawyers and Judges.

The FY-2017 audit was prepared by the accounting firm of Perry, Fitts, Boulette & Fitton. Revenue for the year totaled \$1,351,600 and expenditures totaled \$1,135,333 resulting in a variance of \$216,267 before depreciation. As of July 1, 2017, the Fund Balance stood at \$736,483.

#### **Registration Statistics**

Resident	
Active	3,844
Active Military	4
Emeritus	8
Federal Judiciary	12
Maine Judiciary [Active]	74
Maine Judiciary [Active Retin	red] 20
Law Clerk	31
Subtotal:	3,993
Non-Resident	
Active	1,378
Active Military	12
Law Clerk	7
Subtotal:	1,397
Total:	5,390

#### **RECOGNIZING VOLUNTEERS**

The Board fulfills its mission with the assistance of volunteer attorneys and members of the public. Collectively, they devote hundreds of hours each year to protect the public. The work of the Board could not be accomplished without the donation of their time, talent, and expertise.

#### **BOARD OF OVERSEER OF THE BAR**

Cathy A. DeMerchant. (Chair) — Augusta Judson Esty-Kendall, Esq. (Vice Chair) — Bangor Richard P. Dana, CPA — Cape Elizabeth Mary A. Denison, Esq. — Winthrop Barbara H. Furey, Esq. — Portland Christopher L. Gaunce — Waterville Benjamin Rogoff Gideon, Esq. — Lewiston Margaret K. Minister, Esq. — Portland Julia A. Sheridan, Esq. — Portland <u>Court Liaison</u> The Honorable Joseph M. Jabar — Augusta

#### **FEE ARBITRATION COMMISSION**

Members of the Fee Arbitration Commission are appointed by the Board pursuant to Maine Bar Rule 7.

Daniel J. Mitchell, Esq., Chair - Portland Michael R. Poulin, Esq., Vice Chair – Lewiston Brett D. Baber, Esq. – Bangor Paul F. Bolin – Bangor Cheryl Brandt - Poland Michael J. Colleran, Esq. - Augusta Steven E. Cope, Esq. – Portland Rick Dacri – Kennebunk Mary A. Davis, Esg. – Portland Kristina M. Donovan, Esq. – Auburn Susan E. Driscoll, Esq. - Saco Arthur H. Dumas, Esq. – Lyman Thomas P. Elias, Esq. – York Philip D. Fearon – Saco Jeffrey William Fitch - Bangor Carrie Folsom Esq. – Lewiston

Nancy Y. Harrison — Veazie Sandra Hodge — Brunswick Naomi H. Honeth, Esq. — Portland Neil D. Jamieson, Jr., Esq. — Saco Blair A. Jones, Esq. — Portland Dennis L. Jones, Esq. — Portland Heidi J. Kinney — Orrington William P. Logan, Esq. — Augusta F. Todd Lowell, Esq. — Bangor Nancy Macirowski, Esq. — Augusta Peter T. Marchesi, Esq. — Waterville Lori M. Pelletier, LSW – Kennebunk Michael B. Reynolds — Boothbay Daniel J. Stevens, Esq. — Augusta

#### **RECEIVER RECOGNITION**

Lawyers are specially appointed as Receivers by the Court pursuant to Maine Bar Rule 32 to wind down the practices of attorneys that are determined to be disabled, missing, deceased, or disqualified from practice due to discipline. As a service to the public and the bar, Receivers have donated countless hours to ensure that clients and the public are protected.

Daniel P. Barrett, Esq. — Portland Jesse F. Bifulco, Esq. — Camden Margaret T. Jeffery, Esq. — Bar Harbor Ronald A. Mosley, Jr., Esq. — Machias Charles L. Nickerson, Esq. — Sanford Jennifer G. Villeneuve, Esq. — Damariscotta Hylie A. West, Esq. — Damariscotta Thomas B. Wheatley, Esq. — Ellsworth

#### **GRIEVANCE COMMISSION**

Members of the Grievance Commission are appointed by the Board pursuant to Maine Bar Rule 9.

Sarah McPartland-Good, Esq. (Chair) - Orono Robert S. Hark, Esq. (Vice Chair) - Portland David S. Abramson, Esq. – Portland Stephanie P. Anderson, Esq. – Portland John J. Aromando, Esq. - Portland Michael W. Arthur, LCPC – Brunswick Daniel P. Belyea – Bangor Frank H. Bishop, Esq. – Presque Isle Celine M. Boyle, Esg. – Saco M. Ray Bradford, Jr., Esq. - Bangor L. Dennis Carrillo, Esq. – Augusta Margaret T. Clancey - Orono Peter Clifford, Esq. - Kennebunk Teresa M. Cloutier, Esq. – Augusta Sallie M. Crittendon – Harpswell Richard P. Dana, C.P.A. – Cape Elizabeth Steven E. Diaz, MD – Augusta Emilie van Eeghen – Canaan David J. Fletcher, Esq. – Calais Robert S. Frank, Esq. - Portland John P. Gause, Esq. – Bangor

Franklin D. Gooding - Saco Catherine L. Haynes, Esq. – Ellsworth Jennifer E. Hoopes, Esq. - Portland Andre J. Hungerford, Esq. - Portland Jonathan Huntington, Esq. – Wayne Gretchen L. Jones, Esq. - Brunswick Thomas H. Kelley, Esg. - Portland Jud Knox – York Justin D. LeBlanc, Esq. - Portland Sophia Leotsakos-Wilson - Orono James A. McKenna III, Esq. – Hallowell Cynthia M. Mehnert, Esq. – Bangor Catherine C. Miller, Esq. – Portland Elizabeth Shradel Miller - Augusta Christina M. Moylan, Esq. - Augusta James Edward Nelson - Saco Margaret J. Palmer, Ph.D. - New Gloucester Carolyn A. Silsby, Esq. – Augusta Diane A. Tennies, Ph.D., LADC – Bangor Lisa K. Toner, Esq. – Portland Vendean V. Vafiades, Esq. - Portland Milton R. Wright - Readfield

#### **PROFESSIONAL ETHICS COMMISSION**

The Professional Ethics Commission is a body of eight members appointed by the Board pursuant to Maine Bar Rule 8.

David L. Herzer, Jr., Esq. (Chair) — Portland James M. Bowie, Esq. — Portland Mary K. Brennan, Esq. — York John B. Cole, Esq. — Lewiston Benjamin R. Gideon, Esq. — Lewiston Barry K. Mills, Esq. — Ellsworth Deidre M. Smith, Esq. — Portland Anne-Marie L. Storey, Esq. — Bangor

#### SPECIAL RECOGNITION

The following Board and Commission members' services ended in 2017:

#### **BOARD OF OVERSEERS OF THE BAR**

Victoria Powers, Esq. - Portland

#### **FEE ARBITRATION COMMISSION**

Paul F. Bolin — Bangor Rick Dacri — Kennebunk Susan E. Driscoll, Esq. — Saco Arthur H. Dumas, Esq. — Lyman Naomi H. Honeth, Esq. — Portland Dennis L. Jones, Esq. — Farmingdale Heidi J. Kinney — Orrington Daniel J. Mitchell, Esq. — Portland Lori M. Pelletier, LSW — Kennebunk Michael B. Reynolds — Boothbay

#### **GRIEVANCE COMMISSION**

Peter Clifford, Esq. – Kennebunk Franklin D. Gooding – Saco Jennifer E. Hoopes, Esq. – Portland Sarah McPartland-Good, Esq. – Orono Elizabeth Shradel Miller – Augusta Lisa K. Toner, Esq. – Portland

#### **PROFESSIONAL ETHICS COMMISSION**

Barry K. Mills, Esq. — Ellsworth

#### **GRIEVANCE COMPLAINTS**

#### **Comparative Statistics**

#### **Grievance Complaints**

Year	Total	Variance
2013	121	-15.97%
2014	145	19.83%
2015	157	8.28%
2016	175	11.46%
2017	161	-8.00%

2013	2014	2015	2016	2017
97	125	137	155	147

#### **Distinct Respondents**

#### Grievance Complaints by Respondent County

	20	013	2014		2015		2016		2017	
Androscoggin	7	5.79%	13	8.97%	13	8.28%	16	9.14%	10	6.21%
Aroostook	10	8.26%	5	3.45%	4	2.55%	7	4.00%	8	4.97%
Cumberland	27	22.31%	33	22.76%	54	34.39%	57	32.57%	49	30.43%
Franklin	4	3.31%	2	1.38%	о	0%	2	1.14%	0	0%
Hancock	4	3.31%	8	5.52%	7	4.46%	3	1.71%	7	4.35%
Kennebec	6	4.96%	19	13.10%	16	10.19%	17	9.71%	15	9.32%
Knox	6	4.96%	5	3.45%	10	6.37%	5	2.86%	6	3.73%
Lincoln	4	3.31%	2	1.38%	о	0%	4	2.29%	8	4.97%
Oxford	0	0%	3	2.07%	5	3.18%	9	5.14%	8	4.97%
Penobscot	18	14.88%	18	12.41%	9	5.73%	14	8.00%	9	5.59%
Piscataquis	2	1.65%	0	0%	о	0%	о	0%	1	0.62%
Sagadahoc	3	2.48%	5	3.45%	1	0.64%	5	2.86%	5	3.11%
Somerset	1	0.83%	0	0%	3	1.91%	2	1.14%	3	1.86%
Waldo	0	0%	1	0.69%	10	6.37%	2	1.14%	1	0.62%
Washington	2	1.65%	1	0.69%	о	0%	2	1.14%	1	0.62%
York	15	12.40%	17	11.72%	11	7.01%	15	8.57%	22	13.66%
Out-of-State	12	9.92%	13	8.97%	14	8.92%	15	8.57%	8	4.97%
Total:	121		145		157		175		161	

Note: Above statistics generated from the grievance complaint docketing date.

#### **GRIEVANCE COMPLAINTS**

#### Grievance Complaints by Area of Law

orrevance complaints a	2013 2014		20	2015		2016		017		
Admin. Suspension	0	0%	0	0%	18	1.4%	0	0%	0	0%
Administrative/Municipal	3	2.48%	2	1.38%	2	1.27%	1	0.57%	1	0.62%
Appellate	0	0%	0	0%	0	0%	1	0.57%	0	0%
Bankruptcy Law	4	3.31%	3	2.07%	5	3.18%	8	4.57%	0	0%
Child Protection Law	2	1.65%	2	1.38%	0	0%	2	1.14%	0	0%
Civil	1	0.83%	0	0%	0	0%	0	0%	1	0.62%
Collections Law	3	2.48%	0	0%	2	1.27%	1	0.57%	2	1.24%
Commercial/Business	2	1.65%	2	1.38%	3	1.91%	2	1.14%	5	3.11%
Contracts/Consumers	1	0.83%	2	1.38%	4	2.55%	2	1.14%	3	1.86%
Corporate Law	1	0.83%	0	0%	0	0%	0	0%	1	0.62%
<b>Criminal Conviction</b>	1	0.83%	1	0.69%	0	0%	0	0%	0	0%
Criminal Law	13	10.74%	24	16.55%	23	14.65%	30	17.14%	30	18.63%
Education Law	1	0.83%	0	0%	0	0%	0	0%	0	0%
Elder Law	0	0%	0	0%	1	0.64%	1	0.57%	1	0.62%
Employment Law	0	0%	1	0.69%	3	1.91%	1	0.57%	7	4.35%
Family Law	29	23.97%	34	23.45%	25	15.92%	34	19.43%	32	19.88%
Foreclosure Law	1	0.83%	1	0.69%	5	3.18%	2	1.14%	0	0%
<b>General Practice</b>	6	4.96%	21	14.48%	3	1.91%	8	4.57%	16	9.94%
Health Law	1	0.83%	0	0%	0	0%	2	1.14%	3	1.86%
Immigration Law	1	0.83%	4	2.76%	1	0.64%	0	0%	0	0%
Indian Law	0	0%	0	0%	0	0%	0	0%	0	0%
Insurance Law	2	1.65%	0	0%	1	0.64%	0	0%	1	0.62%
Intellectual Property Law	0	0%	0	0%	0	0%	0	0%	0	0%
Labor Law	0	0%	0	0%	0	0%	0	0%	0	0%
Landlord/Tenant Law	0	0%	1	0.69%	2	1.27%	4	2.29%	3	1.86%
Law Practice	17	14.05%	2	1.38%	1	0.64%	1	0.57%	0	0%
Law Related Services	0	0%	0	0%	0	0%	0	0%	0	0%
Litigation/Civil Practice	0	0%	0	0%	2	1.27%	1	0.57%	3	1.86%
Municipal	0	0%	2	1.38%	1	0.64%	1	0.57%	4	2.48%
None	0	0%	0	0%	1	0.64%	1	0.57%	1	0.62%
Other	0	0%	0	0%	4	2.55%	12	6.86%	2	1.24%
PFA/Harassment	0	0%	2	1.38%	2	1.27%	8	4.57%	1	0.62%
Real Estate Law	14	11.57%	15	10.34%	14	8.92%	23	13.14%	22	13.66%
Reinstatement	0	0%	0	0%	1	0.64%	0	0%	0	0%
Social Security Law	0	0%	2	1.38%	2	1.27%	2	1.14%	0	0%
Taxation Law	0	0%	1	0.69%	0	0%	0	0%	0	0%
Torts	6	4.96%	7	4.83%	10	6.37%	10	5.71%	3	1.86%
Unknown	0	0%	0	0%	1	0.64%	0	0%	0	0%
Wills/Estates/Probate	11	9.09%	14	9.66%	16	10.19%	17	9.71%	18	11.18%
Workers Compensation	1	0.83%	2	1.38%	4	2.55%	0	0%	1	0.62%
Total:	121		145		157		175		161	

Note: Above statistics generated from the grievance complaint docketing date.

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#### **GRIEVANCE COMPLAINTS**

#### Grievance Complaints by Respondent Firm Size

	201	13	20	014	20	015	20	016	20	017
1	63	52.07%	65	44.83%	62	39.49%	72	41.14%	43	26.71%
2 - 5	42	34.71%	52	35.86%	48	30.57%	67	38.29%	73	45.34%
6 - 9	9	7.44%	7	4.83%	15	9.55%	13	7.43%	12	7.45%
10 - 19	1	0.83%	7	4.83%	15	9.55%	11	6.29%	11	6.83%
20 - 49	3	2.48%	5	3.45%	8	5.10%	5	2.86%	13	8.07%
50 - 99	0	0%	2	1.38%	0	0%	0	0%	1	0.62%
<u>&gt;</u> 100	0	0%	0	0%	4	2.55%	5	2.86%	6	3.73%
Unknown	3	2.48%	7	4.83%	5	3.18%	2	1.14%	2	1.24%
Total:	121		145		157		175		161	

#### Grievance Complaints by Respondent Age

	2013	2014	2015	2016	2017
29 or less	0 0%	0 0%	4 2.55%	2 1.14%	2 1.24%
30 - 34	8 6.61%	7 4.83%	11 7.01%	10 5.71%	17 <sup>10.56%</sup>
35 - 39	7 5.79%	11 7.59%	14 <sup>8.92%</sup>	11 6.29%	13 8.07%
40 - 44	19 <sup>15.70%</sup>	19 <sup>13.10%</sup>	16 10.19%	23 13.14%	14 <sup>8.70%</sup>
45 - 49	11 9.09%	28 <sup>19.31%</sup>	14 <sup>8.92%</sup>	21 12.00%	24 <sup>14.91%</sup>
50 - 54	17 14.05%	<b>21</b> <sup>14.48%</sup>	15 <sup>9.55%</sup>	25 14.29%	15 9.32%
55 - 59	18 14.88%	16 11.03%	18 11.46%	19 <sup>10.86%</sup>	<b>23</b> <sup>14.29%</sup>
60 - 64	19 <sup>15.70%</sup>	<b>23</b> <sup>15.86%</sup>	36 <sup>22.93%</sup>	35 <sup>20%</sup>	18 11.18%
65-69	16 13.22%	13 8.97%	<b>21</b> <sup>13.38%</sup>	18 10.29%	<b>22</b> <sup>13.66%</sup>
<u>&gt;</u> 70	6 4.96%	7 4.83%	8 5.10%	11 6.29%	13 8.07%
Unknown	0 0%	O 0%	O 0%	O 0%	0 0%
Total:	121	145	157	175	161

#### Grievance Complaints by Complaint Source

	20	013	20	014	20	015	20	016	20	017
Attorney	15	12.40%	29	20%	10	6.37%	10	5.71%	4	2.48%
Beneficiary	0	0%	0	0%	7	4.46%	8	4.57%	7	4.35%
Client	61	50.41%	74	51.03%	54	34.39%	62	35.43%	71	44.10%
Court Order	0	0%	1	0.69%	1	0.64%	0	0%	0	0%
Judge	3	2.48%	0	0%	2	1.27%	1	0.57%	0	0%
Law Enforcement	0	0%	0	0%	0	0%	0	0%	0	0%
<b>Opposing Counsel</b>	2	1.65%	5	3.45%	4	2.55%	2	1.14%	4	2.48%
<b>Opposing Party</b>	16	13.22%	9	6.21%	34	21.66%	64	36.57%	39	24.22%
Party	0	0%	0	0%	2	1.27%	2	1.14%	2	1.24%
Self-Report	0	0%	0	0%	2	1.27%	1	0.57%	1	0.62%
Sua Sponte	20	16.53%	11	7.59%	22	14.01%	6	3.43%	11	6.83%
Vendor	0	0%	0	0%	1	0.64%	5	2.86%	1	0.62%
Other	4	3.31%	16	11.03%	18	11.46%	14	8.00%	21	13.04%
Total:	121		145		157		175		161	

*Note: Above statistics generated from the grievance complaint docketing date.* 

#### **GRIEVANCE COMPLAINTS AND COURT MATTERS**

#### Sanction Cases by Area of Law\*

Discipline Cases by Area of Law\*\*

·	20	013	20	014	2	015	20	016	20	017
Admin. Suspension	0	0%	0	0%	4	22.22%	0	0%	0	0%
Bankruptcy Law	0	0%	1	0%	0	0%	0	0%	0	0%
Child Protection Law	1	4.76%	1	0%	0	о%	2	14.29%	0	0%
Collections	0	0%	0	4.76%	0	0%	0	0%	1	9.09%
Commercial/Business	1	4.76%	2	4.76%	0	о%	0	0%	0	0%
Contracts/Consumer	1	4.76%	0	4.76%	0	о%	0	0%	0	0%
Criminal Law	1	4.76%	3	4.76%	0	о%	3	21.43%	1	9.09%
Employment Law	0	о%	0	0%	2	11.11%	0	0%	2	18.18%
Family Law	3	14.29%	3	14.29%	3	16.67%	2	14.29%	5	45.45%
Foreclosure Law	1	4.76%	0	4.76%	0	0%	0	0%	0	0%
General Practice	1	4.76%	5	4.76%	0	0%	2	14.29%	0	0%
Health Law	0	о%	0	0%	0	о%	1	7.14%	0	0%
Immigration	0	0%	1	0%	0	0%	0	0%	0	0%
Insurance Law	0	0%	0	0%	1	5.56%	0	0%	1	9.09%
Landlord/Tenant Law	0	0%	1	0%	2	11.11%	0	0%	0	0%
Law Practice	3	14.29%	0	14.29%	0	0%	0	0%	0	0%
Real Estate Law	4	19.05%	4	19.05%	3	16.67%	1	7.14%	1	9.09%
Social Security Law	0	0%	1	0%	0	0%	0	0%	0	0%
Torts	2	9.52%	0	0%	2	11.11%	2	14.29%	0	0%
Wills/Estates/Probate	3	14.29%	3	9.52%	1	5.56%	1	7.14%	0	0%
Workers' Comp.	0	0%	1	14.29%	0	0%	0	0%	0	0%
Total:	21		26		18		14		11	

- ·	2013		20	2014		015	20	16	20	017
Admin. Suspension	0	0%	0	0%	5	22.73%	0	0%	0	0%
Admin./Municipal	2	5.88%	1	2.94%	0	0%	0	0%	0	0%
Appellate	0	о%	0	0%	0	0%	1	10%	0	0%
Bankruptcy Law	1	2.94%	0	0%	2	9.09%	0	0%	0	0%
Child Protection Law	1	2.94%	0	0%	0	0%	0	0%	0	0%
Contracts/Consumer	0	о%	1	2.94%	0	0%	1	10%	0	0%
Criminal Law	2	5.88%	3	8.82%	1	4.55%	1	10%	0	0%
Family Law	5	14.71%	11	32.35%	1	4.55%	1	10%	1	11.11%
General Practice	3	8.82%	8	23.53%	6	27.27%	2	20%	5	55.56%
Immigration	0	о%	1	2.94%	0	0%	0	0%	0	0%
Landlord/Tenant Law	0	0%	1	2.94%	0	0%	0	0%	0	0%
Law Practice	12	35.29%	1	2.94%	1	4.55%	0	0%	0	0%
Real Estate Law	0	о%	2	5.88%	1	4.55%	1	10%	1	11.11%
Taxation Law	0	0%	1	2.94%	0	0%	0	0%	0	0%
Torts	1	2.94%	1	2.94%	1	4.55%	0	0%	1	11.11%
Wills/Estates/Probate	7	20.59%	3	8.82%	3	13.64%	2	20%	1	11.11%
Workers' Comp.	0	0%	0	0%	1	4.55%	0	0%	0	0%
Other/Unknown	0	0%	0	0%	0	0%	1	10%	0	0%
Total:	34		34		22		10		9	

\*Sanction Types: Dismissal with Warning, Admonition, Reprimand, Suspension, and Disbarment \*\*Discipline Types: Reprimand, Suspension, and Disbarment

Note: Above statistics generated from the grievance complaint docketing date.

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#### **GRIEVANCE COMPLAINTS AND COURT MATTERS**

#### FINAL DISPOSITION

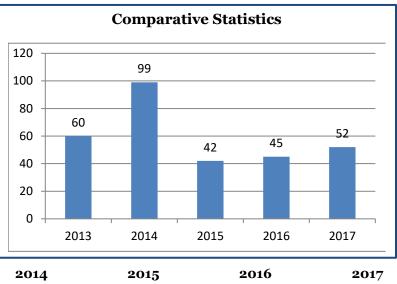
Bar Counsel		013	2014	2015	2016	2017
BCF: Dismissal (Investigation)		84	106	42	0	0
BCF: Dismissal (No Investigation)	)	37	45	26	0	0
GCF: Dismissal (Investigation)		0	0	78	111	72
GCF: Dismissal (No Investigation)	)	0	0	17	36	37
<b>Grievance Commission</b>						
Case Review Dismissal		66	90	19	2	4
Case Review Dismissal with Warn	ing	15	21	13	12	6
Hearing Dismissal		1	1	0	0	0
Hearing Dismissal with Warning		6	3	0	0	0
Hearing Admonition		0	2	5	2	2
Hearing Reprimand		10	16	9	5	2
Hearing Reprimand/Probation		0	3	2	0	0
Single Justice/Law Court						
Disbarment		1	0	0	0	0
Dismissal		0	1	0	0	0
Reciprocal Disbarment		0	0	1	0	1
<b>Reciprocal Reprimand</b>		1	0	1	0	2
<b>Reciprocal Suspension</b>		1	1	3	2	0
<b>Reinstatement Approved</b>						
Administrative		2	2	2	7	4
Discipline		0	1	0	1	0
<b>Reinstatement Denied</b>						
Administrative		1	0	0	0	0
Discipline		0	0	0	0	0
Reprimand		2	0	0	0	0
Surrender		3	1	2	0	0
Suspension		9	13	0	0	0
Suspended Suspension		4	1	1	0	0
Suspended Suspension/Probation	1	0	0	2	0	0
Suspended Suspension/Probation	1	0	1	1	1	0
with Monitoring						
Temporary Suspension		13	0	0	0	0
Board Dismissal		1	0	1	0	0
	Total:	257	308	225	179	130

 $Note:\ Above\ statistics\ generated\ from\ the\ grievance\ complaint\ docketing\ date.$ 

#### **FEE ARBITRATION PETITIONS**

#### **Fee Arbitration Petitions**

Year	Total	Variance
2013	60	22.45%
2014	99	65.00%
2015	42	-57.58%
2016	45	-7.14%
2017	52	15.56%



#### **Distinct Respondents**

2013	2014	2015	2016	2017
56	62	37	41	49

#### **Petitions by Respondent County**

	2013	2014	2015	2016	2017
Androscoggin	8 13.33%	<b>23</b> <sup>23.23%</sup>	9 21.43%	6 13.33%	5 9.62%
Aroostook	2 3.33%	2 2.02%	O 0%	1 2.22%	$3^{5.77\%}$
Cumberland	16 <sup>26.67%</sup>	13 13.13%	17 <sup>40.48%</sup>	17 37.78%	14 <sup>26.92%</sup>
Franklin	1 1.67%	2 2.02%	0 0%	0 0%	O 0%
Hancock	1 1.67%	1 1.01%	0 0%	1 2.22%	4 <sup>7.69%</sup>
Kennebec	4 6.67%	13 13.13%	<b>2</b> 4.76%	$3^{6.67\%}$	6 11.54%
Knox	3 5.00%	O 0%	<b>2</b> 4.76%	2 4.44%	<b>1</b> <sup>1.92%</sup>
Lincoln	2 3.33%	1 1.01%	0 0%	2 4.44%	<b>1</b> <sup>1.92%</sup>
Oxford	1 1.67%	2 2.02%	<b>2</b> 4.76%	$3^{6.67\%}$	<b>1</b> <sup>1.92%</sup>
Penobscot	10 16.67%	18 <sup>18.18%</sup>	4 <sup>9.52%</sup>	4 8.89%	5 9.62%
Piscataquis	0 0%	O <sup>0%</sup>	0 0%	0 0%	O 0%
Sagadahoc	2 3.33%	1 1.01%	0 0%	0 0%	1 <sup>1.92%</sup>
Somerset	1 1.67%	1 1.01%	0 0%	0 0%	O 0%
Waldo	0 0%	1 1.01%	0 0%	0 0%	1 <sup>1.92%</sup>
Washington	0 0%	O <sup>0%</sup>	0 0%	1 2.22%	O 0%
York	8 13.33%	13 13.13%	6 <sup>14.29%</sup>	4 8.89%	9 17.31%
Out-of-State	1 1.67%	8 8.08%	0 0%	1 2.22%	1 <sup>1.92%</sup>
Total:	60	99	42	45	52

Note: Above statistics generated from the petition docketing date.

#### **FEE ARBITRATION PETITIONS**

#### Petitions by Respondent Firm Size

	2	013	2	014	2	015	2	016	2	017
1	24	40%	63	63.64%	20	47.62%	16	35.56%	17	32.69%
2 - 5	21	35.00%	30	30.30%	16	38.10%	18	40%	23	44.23%
6 - 9	4	6.67%	5	5.05%	2	4.76%	3	6.67%	3	5.77%
10 - 19	7	11.67%	0	0%	2	4.76%	3	6.67%	5	9.62%
20 - 49	3	5.00%	0	0%	1	2.38%	4	8.89%	3	5.77%
50 - 99	0	0%	0	0%	0	0%	0	0%	0	0%
<u>&gt;</u> 100	0	0%	0	0%	0	0%	1	2.22%	1	1.92%
Unknown	1	1.67%	1	1.01%	1	2.38%	0	0%		0%
Total:	60		99		42		45		52	

#### Petitions by Respondent Age

	2013	2014	2015	2016	2017
29 or less	2 3.33%	O 0%	0 0%	0 0%	1 1.92%
30 - 34	5 <sup>8.33%</sup>	4 4.04%	1 2.38%	0 0%	1 1.92%
35 - 39	4 6.67%	9 9.09%	1 2.38%	4 <sup>8.89%</sup>	4 <sup>7.69%</sup>
40 - 44	12 <sup>20%</sup>	7 7.07%	2 4.76%	2 4.44%	4 7.69%
45 - 49	7 11.67%	13 13.13%	$3^{7.14\%}$	0 0%	5 <sup>9.62%</sup>
50 - 54	4 6.67%	6 6.06%	$3^{7.14\%}$	7 <sup>15.56%</sup>	7 13.46%
55 - 59	6 10%	<b>21</b> <sup>21.21%</sup>	10 <sup>23.81%</sup>	7 <sup>15.56%</sup>	9 17.31%
60 - 64	8 13.33%	12 <sup>12.12%</sup>	10 <sup>23.81%</sup>	4 <sup>8.89%</sup>	5 <sup>9.62%</sup>
65-69	5 <sup>8.33%</sup>	20 20.20%	7 <sup>16.67%</sup>	6 <sup>13.33%</sup>	7 13.46%
<u>&gt;</u> 70	6 10%	7 7.07%	5 11.90%	9 <sup>20%</sup>	9 17.31%
Unknown	1 1.67%	0 0%	0 0%	6 13.33%	O 0%
Total:	60	99	42	45	52

#### **Petitions by Complaint Source**

	2013	2014	2015	2017	
Attorney	1 1.67%	3 3.03%	O 0%	O 0%	1 1.92%
Beneficiary	0 0%	1 1.01%	O 0%	1 2.22%	O 0%
Client	53 <sup>88.33%</sup>	<b>90</b> 90.91%	<b>39</b> <sup>92.86%</sup>	38 <sup>84.44%</sup>	47 <sup>90.38%</sup>
<b>Opposing Party</b>	1 1.67%	O 0%	O 0%	1 2.22%	O 0%
Vendor	O 0%	O 0%	O 0%	O 0%	1 1.92%
Other	5 <sup>8.33%</sup>	5 5.05%	3 7.14%	5 11.11%	3 5.77%
Total:	60	99	42	45	52

Note: Above statistics generated from the petition docketing date.

#### **FEE ARBITRATION PETITIONS**

#### Petitions by Area of Law

	2	013	2	014	:	2015	2	016	2	017
Admin./Municipal	1	1.67%	2	2.02%	2	4.76%	0	0%	0	0%
Banking	0	0%	0	0%	1	2.38%	0	0%	0	0%
Bankruptcy	0	0%	3	3.03%	3	7.14%	2	4.44%	1	1.92%
Civil Rights	1	1.67%	0	0%	0	0%	0	0%	0	0%
Collections	0	0%	0	0%	0	0%	1	2.22%	0	0%
Commercial/Business	2	3.33%	1	1.01%	1	2.38%	3	6.67%	3	5.77%
Contracts/Consumer	0	0%	1	1.01%	0	0%	0	0%	1	1.92%
Corporate	1	1.67%	0	0%	0	0%	0	0%	0	0%
Criminal	5	8.33%	17	17.17%	4	9.52%	8	17.78%	9	17.31%
Education	1	1.67%	0	0%	0	0%	0	0%	0	0%
Employment	4	6.67%	1	1.01%	0	0%	3	6.67%	1	1.92%
Family	24	40%	38	38.38%	13	30.95%	10	22.22%	18	34.62%
Foreclosure	0	0%	0	0%	1	2.38%	0	0%	0	0%
General Practice	0	0%	0	0%	0	0%	1	2.22%	0	0%
Health Law	0	0%	0	0%	1	2.38%	0	0%	0	0%
Immigration	0	0%	0	0%	1	2.38%	0	0%	0	0%
Insurance	2	3.33%	0	0%	0	0%	1	2.22%	1	1.92%
Landlord/Tenant	0	0%	2	2.02%	3	7.14%	0	0%	0	0%
Litigation/Civil Practice	0	0%	0	0%	0	0%	1	2.22%	1	1.92%
Municipal	0	0%	0	0%	0	0%	0	0%	1	1.92%
PFA/Harassment	0	0%	2	2.02%	0	0%	1	2.22%	1	1.92%
Real Estate	8	13.33%	8	8.08%	6	14.29%	6	13.33%	9	17.31%
Social Security	1	1.67%	3	3.03%	0	0%	1	2.22%	0	0%
Taxation	1	1.67%	0	0%	0	0%	0	0%	0	0%
Torts	2	3.33%	4	4.04%	2	4.76%	2	4.44%	0	0%
Wills/Estates/Probate	5	8.33%	12	12.12%	2	4.76%	3	6.67%	5	9.62%
Workers' Comp.	1	1.67%	1	1.01%	0	0%	1	2.22%	0	0%
Other/Unknown	1	1.67%	4	4.04%	2	4.76%	1	2.22%	1	1.92%
Total:	60		99		42		45		52	
Petitions by Final Disp	ositio	n								
	20	013	20	014	1	2015	2	016	2	2017
Award to Petitioner		13		27		11		6		4
Award to Respondent		24		22		11		18		13
Administrative Dismissal		1		0		5		19		21
Hearing Dismissal		22		50		14		2		1
Court Dismissal		0		0		1		0		1
Total: Note: Above statistics generated	,	60	,	99		42		45		40

Note: Above statistics generated from the petition docketing date.

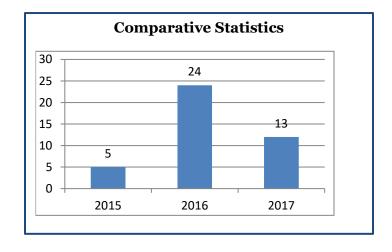
#### **GUARDIAN AD LITEM REVIEW BOARD**

#### **Annual Complaint Statistics**

Year	Total	Variance
2015	5	N/A
2016	24	380%
2017	13	-45.8%

#### **Annual Roster Statistics**

Licensed Professionals	17	8%
Attorneys	201	92%



#### **GAL Roster** 7.8% Androscoggin 17 Aroostook 3.2% 7 Cumberland 33.5% 73 Franklin 0.9% 2 5.5% Hancock 12 Kennebec 8.3% 18 4.1% Knox 9 2.8% Lincoln 6 Oxford 0.5% 1 Penobscot 10.1% 22 Piscataquis 1.4% 3 1.8% Sagadahoc 4 2.8% Somerset 6 1.8% Waldo 4 Washington 2.8% 6 York 28 12.8% Total: 218

Licensed Profession	nals
Androscoggin	1
Aroostook	0
Cumberland	5
Franklin	0
Hancock	2
Kennebec	2
Knox	1
Lincoln	1
Oxford	0
Penobscot	3
Piscataquis	0
Sagadahoc	0
Somerset	0
Waldo	0
Washington	0
York	2
	15

#### Attorneys

1	Androscoggin	16
0	Aroostook	7
5	Cumberland	68
0	Franklin	2
2	Hancock	10
2	Kennebec	16
1	Knox	8
1	Lincoln	5
0	Oxford	1
3	Penobscot	19
0	Piscataquis	3
0	Sagadahoc	4
0	Somerset	6
0	Waldo	4
0	Washington	6
2	York	26
17		201

#### **2017 GUARDIAN AD LITEM REVIEW BOARD CASE DISPOSITION**

Case #	Appt. Type	Open Date	Closed Date	Days Open	Disposition	Public Member Review	Court Location	Respondent County
1	Title 19-A	1/31/2017	4/26/2017	85	Dismissal	Yes	Belfast	Waldo
2	Title 22	4/05/2017	6/16/2017	72	Dismissal*	No	Wiscasset	Lincoln
3	Title 19-A	4/18/2017	11/07/2017	203	Dismissal	No	Portland	Cumberland
4	Mandatory Disclosure	5/02/2017	6/12/2017	41	Dismissal	No	N/A	Penobscot
5	Title 22	7/7/2017	7/20/2017	13	Dismissal*	No	Portland	Cumberland
6	Title 19-A	8/02/2017	9/05/2017	34	Dismissal*	Yes	Portland	Cumberland
7	Title 19-A	8/17/2017	8/18/2017	1	Dismissal*	No	N/A	N/A
8	Title 19-A	9/07/2017	9/21/2017	14	Dismissal*	No	Portland	Cumberland
9	Title 19-A	10/02/2017	11/03/2017	32	Dismissal	No	Portland	Cumberland
10	Title 22	11/20/2017	12/07/2017	17	Dismissal**	No	Biddeford	York
11	Title 22	11/27/2017	12/19/2017	22	Dismissal**	No	Augusta	Kennebec
12	Title 22	12/01/2017	12/28/2017	27	Dismissal*	No	Waterville	Kennebec
13	Title 19-A	12/05/2017	4/26/2017	2	Dismissal*	No	Waterville	Kennebec

\*No jurisdiction. \*\*Guardian had not been discharged from appointment and case still pending.

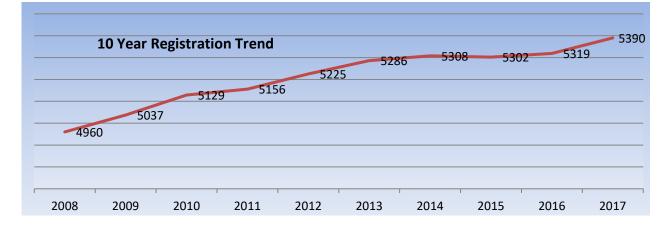
#### **GUARDIAN AD LITEM REVIEW LEADERSHIP AND STAFF**

#### **BOARD LEADERSHIP AND STAFF**

The Maine Supreme Judicial Court appoints Board members to oversee the operations of the organization. The Board is composed of eight lawyers and four members of the public. Public members are appointed by the Court on the recommendation of the Governor. Board members may serve two consecutive three-year terms.

#### Chair **Board Members** Dana E. Prescott, JD, MSW, PhD - Saco Kenneth Altshuler, Esq. - South Portland Vice Chair Karen E. Boston, Esq. – Augusta Diane A. Tennies, PhD, LADC – Bangor Lisa A. Bryant – Falmouth **Judicial Liaison** Armanda B. Day, Esq. – Bangor Malcolm T. Dow – Hollis Center The Honorable Joseph M. Jabar – Augusta **Executive Director** Brenda M. Harvey, MSEd – Gardiner Jacqueline M. Rogers – Augusta Christopher P. Leddy, Esq. - South Portland **Board Counsel** Catherine C. Miller, Esq. – Portland Mary J. Zmigrodski, Esq. - South China Angela M. Morse – Augusta Public Member Vacancy

	20	13	20	14	20	15	20	16	20	17
<b>Resident Attorney</b>	S									
Active	3,807	72.02%	3,828	72.12%	3,813	71.92%	3,818	71.78%	3,844	71.32%
Active Military		0%		0%		0%		0%	4	0.07%
Emeritus	3	0.06%	5	0.09%	5	0.09%	5	0.09%	8	0.15%
Federal Judiciary	10	0.19%	12	0.23%	12	0.23%	12	0.23%	12	0.22%
Maine Judiciary [Active]	68	1.29%	69	1.30%	67	1.26%	74	1.39%	74	1.37%
Maine Judiciary [Active Retired]		0%		0%		0%		0%	20	0.37%
Law Clerk	25	0.47%	30	0.57%	28	0.53%	30	0.56%	31	0.58%
Subtotal:	3,913	74.03%	3,944	74.30%	3,925	74.03%	3,939	74.06%	3,993	74.08%
Non - Resident Att	torneys									
Active	1,366	25.84%	1,361	25.64%	1,375	25.93%	1,377	25.89%	1,378	25.57%
Active Military		0%		0%		0%		0%	12	0.22%
Law Clerk	7	0.13%	3	0.06%	2	0.04%	3	0.06%	7	0.13%
Subtotal:	1,373	25.97%	1,364	25.70%	1377	25.97%	1380	25.94%	1,397	25.92%
Total	5,286		5,308		5,302		5,319		5,390	
Prior Year Variance	61	1.17%	22	0.42%	-6	-0.11%	17	0.32%	71	1.33%



Years Admitted to Practice												
5 Years or Less	799	14.82%	36 to 40 Years	409	7.59%							
6 to 10 Years	666	12.36%	41 to 45 Years	351	6.51%							
11 to 15 Years	613	11.37%	46 to 50 Years	145	2.69%							
16 to 20 Years	561	10.41%	51 to 55 Years	51	0.95%							
21 to 25 Years	567	10.52%	56 to 60 Years	16	0.30%							
26 to 30 Years	628	11.65%	61 to 65 Years	8	0.15%							
31 to 35 Years	574	10.65%	66 to 70 Years	2	0.04%							

#### Years Admitted to Practice

#### **County Demographics**

	20	13	20	14	20	15	20	16	20	17
Androscoggin	208	3.93%	208	3.92%	209	3.94%	205	3.84%	209	3.88%
Aroostook	74	1.40%	72	1.36%	75	1.41%	75	1.41%	76	1.41%
Cumberland	1948	36.85%	1976	37.23%	1987	37.48%	2008	37.88%	2066	38.33%
Franklin	33	0.62%	31	0.58%	27	0.51%	28	0.53%	25	0.46%
Hancock	109	2.06%	106	2.00%	104	1.96%	104	1.96%	106	1.97%
Kennebec	489	9.25%	499	9.40%	487	9.19%	468	8.87%	479	8.89%
Knox	107	2.02%	105	1.98%	106	2.00%	103	1.94%	101	1.87%
Lincoln	75	1.42%	73	1.38%	74	1.40%	72	1.35%	71	1.32%
Oxford	41	0.78%	43	0.81%	42	0.79%	45	0.83%	45	0.83%
Penobscot	344	6.51%	347	6.54%	348	6.56%	342	6.39%	334	6.20%
Piscataquis	9	0.17%	10	0.19%	8	0.15%	8	0.15%	8	0.15%
Sagadahoc	89	1.68%	85	1.60%	87	1.64%	87	1.62%	85	1.58%
Somerset	41	0.78%	38	0.72%	36	0.68%	35	0.66%	35	0.65%
Waldo	38	0.72%	39	0.73%	37	0.70%	41	0.73%	39	0.72%
Washington	34	0.64%	35	0.66%	35	0.66%	33	0.64%	31	0.58%
York	306	5.79%	310	5.84%	303	5.71%	315	5.90%	322	5.97%
Out-of-State	1341	25.37%	1331	25.08%	1337	25.22%	1350	25.31%	1358	25.19%
Total:	5286		5308		5302		5319		5390	

#### **County Demographics – Variance Over Prior Year**

	20	13	20	14	20	15	20	16	20	17
Androscoggin	208	2.97%	208	0%	209	0.48%	205	-1.91%	209	1.95%
Aroostook	74	-1.33%	72	-2.70%	75	4.17%	75	0%	76	1.33%
Cumberland	1948	1.67%	1976	1.44%	1987	0.56%	2008	1.06%	2066	2.89%
Franklin	33	-8.33%	31	-6.06%	27	12.90%	28	3.70%	25	-10.71%
Hancock	109	7.92%	106	-2.75%	104	-1.89%	104	0%	106	1.92%
Kennebec	489	3.60%	499	2.04%	487	-2.40%	468	-3.90%	479	2.35%
Knox	107	1.90%	105	-1.87%	106	0.95%	103	-2.83%	101	-1.94%
Lincoln	75	-5.06%	73	-2.67%	74	1.37%	72	-2.70%	71	-1.39%
Oxford	41	0%	43	4.88%	42	-2.33%	45	7.14%	45	0%
Penobscot	344	-0.86%	347	0.87%	348	0.29%	342	-1.72%	334	-2.34%
Piscataquis	9	0%	10	11.11%	8	-20%	8	0%	8	0%
Sagadahoc	89	-3.26%	85	-4.49%	87	2.35%	87	0%	85	-2.30%
Somerset	41	0%	38	-7.32%	36	-5.26%	35	-2.78%	35	0%
Waldo	38	0%	39	2.63%	37	-5.13%	41	10.81%	39	-4.88%
Washington	34	13.33%	35	2.94%	35	0%	33	-5.71%	31	-6.06%
York	306	2.68%	310	1.31%	303	-2.26%	315	3.96%	322	2.22%
Out-of-State	1341	-0.15%	1331	-0.75%	1337	0.45%	1350	0.97%	1358	0.59%
Total:	5286		5308		5302		5319		5390	

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#### Firm Size

	20	13	2014		2015		2016		2017	
Solo	1419	26.84%	1466	27.62%	1468	27.69%	1440	27.07%	1429	26.51%
2 - 5	1226	23.19%	1262	23.78%	1207	22.76%	1170	22.00%	1171	21.73%
6 - 9	419	7.93%	425	8.01%	467	8.81%	482	9.06%	471	8.74%
10 - 19	446	8.44%	468	8.82%	481	9.07%	479	9.01%	497	9.22%
20 - 49	404	7.64%	400	7.54%	402	7.58%	394	7.41%	392	7.27%
50 - 99	247	4.67%	201	3.79%	211	3.98%	240	4.51%	259	4.81%
<u>&gt;</u> 100	479	9.06%	531	10%	526	9.92%	531	9.98%	528	9.80%
N/A	646	12.22%	555	10.46%	540	10.18%	583	10.96%	643	11.93%
Total:	5286		5308		5302		5319		5390	

#### Practice Type

	20	13	20	14	20	15	20	16	20	17
Government	547	10.35%	569	10.72%	576	10.86%	576	10.83%	568	10.54%
In-House	334	6.32%	347	6.54%	374	7.05%	386	7.26%	407	7.55%
Judiciary	70	1.32%	92	1.73%	91	1.72%	96	1.80%	115	2.13%
Law Clerk	33	0.62%	28	0.53%	31	0.58%	32	0.60%	35	0.65%
Law School	33	0.62%	31	0.58%	30	0.57%	28	0.53%	28	0.52%
Legal Service	100	1.89%	102	1.92%	113	2.13%	114	2.14%	126	2.34%
Military	9	0.17%	9	0.17%	6	0.11%	7	0.13%	20	0.37%
<b>Private Practice</b>	3452	65.30%	3531	66.52%	3470	65.45%	3436	64.60%	3398	63.04%
Retired	84	1.59%	88	1.66%	98	1.85%	118	2.22%	137	2.54%
Other	624	11.80%	511	9.63%	513	9.68%	526	9.89%	556	10.32%
Total:	5286		5308		5302		5319		5390	

#### Age Demographics

	20	13	20	14	20	15	20	16	20	17
<u>&lt;</u> 29	209	3.95%	207	3.90%	193	3.64%	177	3.33%	169	3.95%
30 - 34	445	8.42%	470	8.85%	444	8.37%	450	8.46%	440	8.42%
35 - 39	463	8.76%	469	8.84%	496	9.35%	533	10.02%	557	8.76%
40 - 44	534	10.10%	497	9.36%	471	8.88%	472	8.87%	482	10.10%
45 - 49	584	11.05%	576	10.85%	586	11.05%	572	10.75%	575	11.05%
50 - 54	714	13.51%	672	12.66%	644	12.15%	596	11.21%	577	13.51%
55 - 59	789	14.93%	792	14.92%	772	14.56%	766	14.40%	752	14.93%
60 - 64	710	13.43%	716	13.49%	749	14.13%	738	13.87%	731	13.43%
65-69	533	10.08%	561	10.57%	566	10.68%	573	10.77%	580	10.08%
<u>&gt;</u> 70	305	5.77%	348	6.56%	381	7.19%	442	8.31%	527	5.77%
Total:	5286		5308		5302		5319		5390	

#### AGE & GENDER DEMOGRAPHICS

	20	13	20	14	20	15	20	16	20	17
Female										
<u>&lt;</u> 29	117	6.34%	115	6.13%	100	1.89%	91	4.74%	91	4.60%
30 - 34	229	12.42%	241	12.85%	222	4.19%	219	11.41%	220	11.13%
35 - 39	229	12.42%	233	12.43%	251	4.73%	276	14.38%	278	14.06%
40 - 44	207	11.23%	199	10.61%	203	3.83%	207	10.79%	226	11.43%
45 - 49	220	11.93%	214	11.41%	218	4.11%	214	11.15%	214	10.82%
50 - 54	266	14.43%	257	13.71%	243	4.58%	227	11.83%	226	11.43%
55 - 59	279	15.13%	280	14.93%	282	5.32%	282	14.70%	272	13.76%
60 - 64	170	9.22%	195	10.40%	214	4.04%	229	11.93%	250	12.65%
65 - 69	106	5.75%	111	5.92%	117	2.21%	124	6.46%	133	6.73%
<u>≥</u> 70	21	1.14%	30	1.60%	36	0.68%	50	2.61%	67	3.39%
Subtotal:	1844		1875		1886		1919		1977	
Male										
<u>&lt;</u> 29	92	2.67%	92	2.68%	93	1.75%	81	2.38%	78	2.29%
30 - 34	216	6.28%	229	6.67%	222	4.19%	228	6.71%	220	6.45%
35 - 39	234	6.80%	236	6.87%	245	4.62%	255	7.50%	279	8.17%
40 - 44	327	9.50%	298	8.68%	268	5.05%	261	7.68%	256	7.50%
45 - 49	364	10.58%	362	10.54%	368	6.94%	365	10.74%	361	10.58%
50 - 54	448	13.02%	415	12.09%	401	7.56%	365	10.74%	351	10.28%
55 - 59	510	14.82%	512	14.91%	490	9.24%	487	14.32%	480	14.06%
60 - 64	540	15.69%	521	15.18%	535	10.09%	508	14.94%	481	14.09%
65 - 69	427	12.41%	450	13.11%	449	8.47%	453	13.32%	447	13.10%
<u>&gt;</u> 70	284	8.25%	318	9.26%	345	6.51%	397	11.68%	460	13.48%
Subtotal:	3442		3433		3416		3400		3413	
Total:	5286		5308		5302		5319		5390	

#### 2017 COUNTY AGE DEMOGRAPHICS

	<u>&lt;</u> 29	30-34	35-39	40-44	45-49	50-54	55-59	60-64	65-69	<u>&gt;</u> 70	TOTAL
Androscoggin	4	21	28	21	28	12	21	26	26	22	209
Aroostook	2	3	3	11	5	7	6	10	10	19	76
Cumberland	74	191	225	217	212	212	264	264	223	184	2066
Franklin	1	1	1	1	5	0	2	4	4	6	25
Hancock	3	4	9	5	10	10	15	15	17	18	106
Kennebec	15	38	37	24	40	47	77	90	59	52	479
Knox	2	8	13	5	12	8	8	11	19	15	101
Lincoln	0	4	6	4	4	6	9	9	10	19	71
Oxford	2	2	8	2	2	2	12	3	6	6	45
Penobscot	12	28	29	19	32	38	63	41	46	26	334
Piscataquis	0	1	0	0	3	0	0	0	1	3	8
Sagadahoc	2	6	9	6	11	13	11	9	7	11	85
Somerset	0	4	4	2	2	2	5	5	7	4	35
Waldo	1	1	9	2	1	4	5	7	6	3	39
Washington	1	2	3	3	4	1	2	3	6	6	31
York	20	21	27	23	26	30	43	47	46	39	322
Out-of-State	30	105	146	137	178	185	209	187	87	94	1358
Total:	169	440	557	482	575	<b>5</b> 77	752	731	580	527	5390

Note: County is based on attorney's preferred mailing address.

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#### 2017 COUNTY AGE DEMOGRAPHICS BY PRACTICE TYPE

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: <u>&lt;</u> 29																		
Government	2	0	6	0	0	3	0	0	1	2	0	0	0	0	0	4	2	20
In-House	0	0	5	0	0	0	0	0	0	0	0	0	0	1	0	0	2	8
Law Clerk	0	0	7	0	0	1	0	0	0	1	0	1	0	0	0	1	5	16
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Legal Service	0	0	2	0	0	2	0	0	0	1	0	0	0	0	1	1	3	10
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2	2
Private Practice	2	2	47	1	3	5	1	0	1	5	0	1	0	0	0	10	9	87
Other	0	0	6	0	0	4	1	0	0	3	0	0	0	0	0	4	7	25
Subtotal:	4	2	74	1	3	15	2	0	2	12	0	2	0	1	1	20	30	169
Age: 30 - 34																		
Government	3	1	14	0	1	19	1	0	0	3	0	3	0	0	2	3	17	67
In-House	0	0	13	0	0	1	1	0	0	6	0	0	0	0	0	1	6	28
Law Clerk	1	0	4	0	0	0	0	1	0	2	0	0	0	0	0	0	1	9
Law School	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Legal Service	1	0	9	0	0	4	0	0	0	2	0	0	0	0	0	1	4	21
Military	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	3	4
Retired	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Private Practice	14	2	131	0	3	10	5	2	2	14	1	3	2	0	0	13	57	259
Other	2	0	19	1	0	4	1	1	0	1	0	0	2	1	0	3	15	50
Subtotal:	21	3	191	1	4	38	8	4	2	28	1	6	4	1	2	21	105	440
Age: 35 - 39																		
Government	2	1	21	0	0	10	3	1	0	6	0	2	3	0	1	1	16	67
In-House	0	0	23	0	0	3	0	0	0	2	0	2	0	0	0	3	21	54
Judiciary	0	0	1	0	0	0	1	0	0	0	0	0	0	0	0	0	0	2
Law Clerk	0	0	1	0	0	0	0	0	1	0	0	0	0	0	0	0	0	2
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1
Legal Service	3	0	6	0	1	4	0	0	0	2	0	0	0	0	0	2	6	24
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	1	0	0	2	3
Private Practice	21	2	134	1	7	16	7	4	6	17	0	3	1	8	2	18	82	329
Retired	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Other	2	0	38	0	1	4	2	1	1	2	0	2	0	0	0	3	18	74
Subtotal:	28	3	225	1	9	37	13	6	8	29	0	9	4	9	3	27	146	557

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#### 2017 COUNTY & AGE DEMOGRAPHICS

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: 40 - 44																		
Government	1	3	14	0	0	9	1	0	0	2	0	0	2	0	0	2	11	45
In-House	0	0	23	0	1	3	1	0	0	2	0	0	0	0	1	1	20	52
Judiciary	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1
Law Clerk	0	0	4	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	2	4
Legal Service	0	0	6	0	0	1	0	0	0	1	0	0	0	0	0	1	4	13
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	1	1
Private Practice	19	8	137	1	4	9	2	4	2	13	0	5	0	0	1	18	75	298
Other	1	0	31	0	0	1	1	0	0	1	0	1	0	2	1	1	24	64
Subtotal:	21	11	217	1	5	24	5	4	2	19	0	6	2	2	3	23	137	482
Age: 45 - 49																		
Government	2	1	13	1	1	18	2	0	0	6	0	1	0	1	0	1	17	64
In-House	1	0	32	0	1	3	0	0	0	1	0	0	0	0	0	1	27	66
Judiciary	1	0	3	0	0	0	0	1	0	1	0	1	0	0	1	1	1	10
Law Clerk	0	0	0	0	0	0	0	0	0	1	0	0	0	0	0	0	0	1
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	2	3
Legal Service	0	0	6	0	0	2	0	0	0	2	0	0	0	0	0	0	1	11
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	5	5
Retired	0	0	1	0	0	0	0	0	0	1	0	0	0	0	0	0	1	3
Private Practice	22	4	123	3	7	14	9	3	2	14	3	6	2	0	3	21	109	345
Other	2	0	33	1	1	3	1	0	0	6	0	3	0	0	0	2	15	67
Subtotal:	28	5	212	5	10	40	12	4	2	32	3	11	2	1	4	26	178	575
Age: 50 - 54																		
Government	1	0	17	0	1	27	0	0	0	5	0	1	0	0	0	4	17	73
In-House	1	0	25	0	0	1	0	0	0	4	0	3	0	0	0	3	40	77
Judiciary	0	1	1	0	0	1	0	0	0	3	0	0	0	0	0	0	0	6
Law School	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2
Legal Service	0	3	2	0	0	3	0	1	0	0	0	0	0	0	1	0	3	13
Military	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	4	4
Retired	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2
Private Practice	8	3	131	0	8	13	7	4	2	19	0	6	2	4	0	20	105	332
Other	2	0	34	0	1	2	1	1	0	7	0	3	0	0	0	3	14	68
Subtotal:	12	7	212	0	10	47	8	6	2	38	0	13	2	4	1	30	185	<b>5</b> 77

#### 2017 COUNTY & AGE DEMOGRAPHICS

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	Washington	York	Out-of-State	Total
Age: 55 - 59																		
Government	3	1	20	1	0	46	0	1	2	4	0	0	1	1	0	3	15	98
In-House	0	0	24	0	0	6	0	0	1	3	0	0	0	0	0	1	25	60
Judiciary	0	1	4	0	0	4	0	0	0	5	0	1	1	1	0	2	3	22
Law Clerk	1	0	0	0	0	0	0	0	0	2	0	0	0	0	0	0	0	3
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	7	9
Legal Service	0	0	3	0	1	2	1	0	0	0	0	0	0	0	0	1	2	10
Military	0	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1
<b>Private Practice</b>	15	3	180	1	13	15	3	5	9	45	0	9	3	2	1	33	141	478
Retired	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	3
Other	2	1	29	0	1	3	4	3	0	4	0	1	0	1	1	3	15	68
Subtotal:	21	6	264	2	15	77	8	9	12	63	0	11	5	5	2	43	209	752
Age: 60 - 64																		
Government	1	2	21	0	1	30	0	1	0	2	0	1	0	0	0	2	18	79
In-House	0	1	15	0	0	2	0	1	0	1	0	1	0	0	1	1	15	38
Judiciary	1	1	9	0	0	8	1	0	1	2	0	0	0	2	0	2	1	28
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	0	2
Legal Service	0	0	2	0	0	3	0	0	0	2	0	0	0	0	0	0	2	9
Private Practice	24	6	185	4	9	41	8	7	2	31	0	4	5	5	2	35	128	496
Retired	0	0	6	0	1	3	1	0	0	0	0	0	0	0	0	3	2	16
Other	0	0	24	0	4	3	1	0	0	3	0	3	0	0	0	4	21	63
Subtotal:	26	10	264	4	15	90	11	9	3	41	0	9	5	7	3	47	187	731
Age: 65 - 69																		
Government	1	1	9	0	1	18	1	0	1	3	0	0	0	0	0	3	4	42
In-House	0	0	9	0	0	3	0	0	0	1	0	0	0	0	0	1	8	22
Judiciary	0	2	9	0	0	2	0	2	0	3	1	0	0	0	2	2	1	24
Law School	0	0	2	0	0	0	0	0	0	0	0	0	0	0	0	0	1	3
Legal Service	0	0	2	0	0	3	0	0	0	1	0	0	0	2	0	0	3	11
Private Practice	19	7	- 156	2	14	27	14	8	4	35	0	6	7	-	3	33	58	396
Retired	3	0	12	1	1	2	4	0	1	1	0	1	0	1	0	5	3	35
Other	3	0	24	1	1	4	0	0	0	2	0	0	0	0	1	2	9	47
Subtotal:	26	10	223	4	17	59	19	10	6	46	1	7	7	6	6	46	87	580

#### 2017 COUNTY & AGE DEMOGRAPHICS

	Androscoggin	Aroostook	Cumberland	Franklin	Hancock	Kennebec	Knox	Lincoln	Oxford	Penobscot	Piscataquis	Sagadahoc	Somerset	Waldo	wasnington	York	Out-of-State	Total
Age: <u>&gt;</u> 70																		
Government	1	0	0	0	0	5	0	2	0	0	0	1	0	0	1	0	3	13
In-House	0	0	1	0	0	0	0	0	0	0	0	0	0	0	0	0	1	2
Judiciary	2	2	10	0	0	5	0	0	0	0	0	0	0	0	1	1	1	22
Law School	0	0	0	0	1	0	0	0	0	0	0	0	0	0	0	0	1	2
Legal Service	0	0	1	0	0	1	0	0	0	1	0	0	0	1	0	0	0	4
Private Practice	17	16	130	5	9	31	14	15	5	20	1	9	4	2	4	34	62	378
Retired	1	0	31	1	6	8	0	1	1	4	1	1	0	0	0	3	18	76
Other	1	1	11	0	2	2	1	1	0	1	1	0	0	0	0	1	8	30
Subtotal:	22	19	184	6	18	52	15	19	6	26	3	11	4	3	6	39	94	<b>52</b> 7
Total:	209	76	2066	25	106	479	101	71	45	334	8	85	35	39	31	322	1358	5390

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